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2	333 North Wilmot, Suite 300 Tucson, Arizona 85711		
3	Telephone: (520) 721-1900 Facsimile: (520) 747-1550 Attorneys@mungerchadwick.com		
4		REC'D OSBORN MALEDON P.A.	
5	MUNGER CHADWICK, P.L.C. Northern Trust Bank Tower	AUG '0 9 2013	
6	2398 East Camelback Road, Suite 240 Phoenix, Arizona 85016	A00 00 2013	
7	Telephone: (602) 358-7348 Facsimile: (602) 441-2779		
8	John F. Munger / Adriane J. Parsons / Robert J. Metli Arizona State Bar Nos. 003735 / 025100 / 018509		
9	JFMunger@MungerChadwick.com AJParsons@MungerChadwick.com		
10	RJMetli@MungerChadwick.com		
11	Attorneys for Arizona Independent Redistricting Commissioner Richard Stertz, in his official capacity		
12	Stortz, in his official capacity		
13	IN THE SUPERIOR COURT OF ARIZONA		
14	MARICOPA COUNTY		
15			
16	Vince Leach, et al.,	Case No. CV2012-007344	
17	Plaintiffs,		
18	37	COMMISSIONER STERTZ'S OPPOSITION TO COMMISSION'S	
19	V.	MOTION FOR JUDGMENT ON THE PLEADINGS	
20	Arizona Independent Redistricting Commission, et al.,	TEEADINGS	
21			
22	Defendants.	(Assigned to the Hen Mark II Drain)	
23	Α-	(Assigned to the Hon. Mark H. Brain)	
24	Defendant Arizona Independent Re	districting Commissioner Richard Stertz ("Mr.	
25	Stertz") hereby opposes the Motion for Judgment on the Pleadings filed by Mr. Stertz's		
26	co-Defendant, the Arizona Independent Redistricting Commission ("the Commission"),		
27	on June 5, 2013 for the reason that the Commission's counsel do not represent Mr. Stertz		
28	,		

for the purposes of the motion, and Mr. Stertz is entitled to, and wishes to, remain a party to this lawsuit.

On or about April 3, 2013, Mr. Stertz advised the Commission in writing that the Commission's counsel (the law firms of Osborne Maledon P.A. and Ballard Spahr L.L.P.) did not represent him and were not authorized to file any documents, motions or pleadings on his behalf in any case. *See* letter dated April 3, 2013, attached hereto marked Exhibit A. This was based on the fact that the Commission's counsel do not represent Mr. Stertz's interests whatsoever, culminating in the Commission's counsel ultimately calling Mr. Stertz as a hostile witness in the related federal case of *Harris v. AIRC* in March 2013. *See* excerpt of transcript of trial in *Harris v. AIRC* on March 25, 2013, p. 203:18-20, attached hereto marked Exhibit B.

On or about April 10, 2013, undersigned counsel, on behalf of Mr. Stertz, reiterated Mr. Stertz's position by advising the Commission's counsel directly in writing that they had a conflict of interests if they continued to attempt to represent Mr. Stertz and that they did not have the authority to represent or speak for Mr. Stertz in any matter, nor did they have the authority to negotiate or postulate any position on Mr. Stertz's behalf. See letter dated April 10, 2013, attached hereto marked Exhibit C.

In response to the above two letters, on April 15, 2013, the Commission's counsel wrote to undersigned counsel, disputing that they had an "ethical conflict" but nevertheless undertaking to "ensure that the court is aware of the dispute [relating to the Commission's counsel's ethical conflict] if there are any filings before the matter is resolved." *See* letter dated April 15, 2013, attached hereto marked Exhibit D.

On July 24, 2013, undersigned counsel filed a Notice of Appearance on behalf of Mr. Stertz.

However, contrary to Mr. Stertz express instructions, and contrary to their undertaking to advise the Court that Mr. Stertz disputed that fact that they represent his interests, and contrary to the Commission's appointment of Mr. Stertz's separate counsel, counsel for the Commission filed their Motion for Judgment on the Pleadings, *expressly*

asserting to the Court that they acted on behalf of Mr. Stertz (and the other individual commissioners), in which they requested that the individual commissioners be dismissed from this lawsuit. See Motion for Judgment on the Pleadings ("Motion"), p. 1:25-26.

First, Mr. Stertz hereby notifies the Court that counsel for the Commission do not represent him on this issue and that the Motion was not brought on his behalf. Thus, any relief which the Court may or may not grant should not affect Mr. Stertz.

Second, Mr. Stertz opposes the Motion because he wishes to remain a party to the lawsuit. Mr. Stertz will likely take independent positions on many of the various issues that will inevitably arise in this case, just has he did in the prior related federal case of *Harris v. AIRC*. For example, if Plaintiffs bring a motion to void the existing redistricting maps and/or to create new redistricting maps, Mr. Stertz will likely support such a motion, whereas the Commission and some of his fellow commissioners will likely oppose such a motion.

Mr. Stertz believes that the only reason that counsel for the Commission brought the Motion was in an effort to prevent Mr. Stertz from taking positions independent of the Commission, and to prevent his full participation in the discovery process. This is exactly what happened in the related federal case of *Harris v. AIRC*. By removing the individual commissioners from that lawsuit (to which the plaintiffs in that case consented), counsel for the Commission as well as counsel for other commissioners were able to exclude, or at least dramatically hinder, Mr. Stertz's ability to take independent positions and to provide information that he believed was relevant and necessary to a full and fair adjudication on the merits of the case.

One example of a point of departure (but not the only such point) between and among Mr. Stertz, the Commission, and three of his co-Defendant fellow commissioners, has been on the issue of the legislative privilege. The Commission and three fellow commissioners invoked the legislative privilege in the *Harris* case, and will likely invoke it in this case. This means that they will refuse to answer many of Plaintiffs' discovery requests directed at them. On the contrary, Mr. Stertz (and fellow commissioner Scott

Freeman) made the decision to waive the legislative privilege and to testify to the proceedings that led to the creation of the 2012 redistricting maps. Mr. Stertz will also waive the legislative privilege in this lawsuit. This means that Mr. Stertz will answer discovery requests as fully as he is permitted to do. This clearly produces dramatically divergent responses to discovery requests and deposition responses between the individual commissioners. This is just one example of the independent and divergent positions Mr. Stertz will take *as a party* to this lawsuit.

Whether or not his fellow individual commissioners want to participate in or oppose motions, positions and discovery requests brought by Plaintiffs, Mr. Stertz wants to, has every right to, and is only able to as a party.

Finally, Mr. Stertz joins in the Plaintiffs' Response in Opposition to IRC Defendants' Motion for Judgment on the Pleadings filed on July 1, 2013.

DATED this 8th day of August, 2013.

MUNGER CHADWICK P.L.C.

/s/ Adriane J. Parsons
John F. Munger
Adriane J. Parsons
Robert J. Metli
Attorneys for Commissioner Richard Stertz

ORIGINAL of the foregoing e-filed and a COPY e-delivered this 8th day of August, 2013, to:

Honorable Mark H. Brain Maricopa County Superior Court East Court Building-413 101 West Jefferson Phoenix, Arizona 85003

COPY of the foregoing mailed this 9th day of August, 2013, to:

Lisa T. Hauser
Gammage and Burnham, P.L.L.C.
Two North Central Avenue, 15 th Floor
Phoenix, AZ 85004
Attorneys for Plaintiffs
Michael T. Liburdi
Snell and Wilmer, L.L.P. One Arizona Center
400 East Van Buren
Phoenix, AZ 85004
Attorneys for Plaintiffs
Mary R. O'Grady
Osborn Maledon, P.A.
2929 North Central Avenue, Ste. 2100 Phoenix, AZ 85012
Attorney for Defendant Arizona Independent Redistricting Commission
Thorney for Defendant II izona Independent Reastracting Commission
Joseph A. Kanefield
Ballard Spahr, L.L.P.
1 East Washington Street, Ste. 2300 Phoenix, AZ 85004
Attorney for Defendant Arizona Independent Redistricting Commission
_
Michele L. Forney
Arizona Attorney General's Office 1275 West Washington
Phoenix, AZ 85007
Attorney for Defendant Ken Bennett
/s/ L. Matlack
/S/ L. Matiack

Exhibit A

Richard Stertz

April 3rd, 2013

Ray Bladine, Executive Director ARIZONA INDEPENDENT REDISTRICTING COMMISSION 1100 West Washington Street Phoenix, Arizona 85007

Ref: Leach v. AIRC/Documents

Dear Ray,

I am writing to assert my right to separate legal counsel, at state expense, for all purposes in my defense of the *Leach* case brought against me in Maricopa Superior Court.

As has become readily apparent during the trial of the *Harris* case in federal court, the Commission's current attorneys do not represent my interests whatsoever, a fact that was confirmed by the Commission's attorneys cross-examining me as a hostile witness. At this point, it is impossible for them to maintain the façade that my personal interests, as a sitting Commissioner who is a party to a lawsuit, are adequately represented by them, and that they have no conflict of interest in continuing to attempt to do so.

I therefore wish to make it clear that the Commission's current attorneys do not represent me, and they may not file anything on my behalf in the <u>Leach</u> case.

I am further writing to demand that you immediately notify me and my current attorneys. Munger Chadwick PLC, that the state will retain them to represent me in the <u>Leach</u> matter, separately from the Commission.

I believe that an Answer has already been filed on my behalf. Given that the deadlines are ticking by when I am entitled to file an amended Answer (which is, I believe, this Friday, April 5), please can you instruct the Commission's current attorneys to send to Munger Chadwick immediately a copy of every pleading or motion that all parties have filed to date.

I also re-iterate my request for documents last submitted to you in a letter dated February 11, 2013. Irrespective of the federal court's ruling denying me my Motion to Compel filed in the *Harris* case (for which no reasons have yet been given), I am still entitled to copies of all documents in the possession of the Commission and its current attorneys relating to the redistricting process of which I was an integral part.

This right exists independent of the litigation, and the Commission's attorneys have a duty to provide the documents to me as one of their clients.

If they fail to provide these documents immediately, I will take appropriate action.

Since all of the above matters are of the utmost urgency and I must also request your immediate response.

Thank you Ray and as always the best to you and the rest of the staff at the AIRC.

Sincerely,

Commissioner Richard Stertz.

Arizona Independent Redistricting Commission

cc: Mary O'Grady and Joe Kanefield
John Munger

CV-12-00894-ROS-NVW-RRC, March 25, 2013 A.M.

100	CV-12-00894-ROS-NVW-RRC, March 25, 2013 A.M.	
1	UNITED STATES DISTRICT COURT	
2	FOR THE DISTRICT OF ARIZONA	
3		
4	Wesley W. Harris, qualified) elector of the State of Arizona,) et al.,	
5	j ,	08:18:08
6	Plaintiffs,)	
7	Vs.) CV-12-00894-ROS-NVW-RRC	
8	Arizona Independent Redistricting) Commission, et al.,)	
9) Phoenix, Arizona Defendants.) March 25, 2013) 8:32 a.m.	
10	(V . 40 4 4 . 10 4	03:13:03
11	BEFORE: THE HONORABLE ROSLYN O. SILVER, CHIEF JUDGE	
1.2	THE HONORABLE NEIL V. WAKE, JUDGE	
1.3	THE HONORABLE RICHARD R. CLIFTON, JUDGE	
1.4	REPORTER'S TRANSCRIPT OF PROCEEDINGS	
15		
15	BENCH TRIAL	
3.7	Day 1 - A.M.	
18	Pages 1-130	
19		
20		08:18:08
21	Official Court Reporter: Elaine Cropper, RDR, CRR, CCP	
22	Sandra Day O'Connor U.S. Courthouse 401 West Washington Street,	
23	Suite 312, Spc. 35 Phoenix, Arizona 85003-2150	
24		
25	Proceedings Reported by Stenographic Court Reporter Transcript Prepared by Computer-Aided Transcription	
	United States District Court	

CV-12-00894-ROS-NVW-RRC, MARCH 25, 2013 - P.M.

- Q. Because of that, I'm going to ask you questions that you
- 2 can answer with a yes or no. Do you understand?
- 3 A. Yes.
- 4 Q. If you feel you can't fairly answer it yes or no, just tell
- 5 me.
- 6 A. I shall.
- 7 Q. Mr. Stertz, you are a businessman. True?
- 8 A. Yes.
- 9 Q. You have started your own businesses?
- 10 A. Yes.
- 11 Q. True?
- 12 MR. CANTELME: Just a minute, Your Honor. To the
- 13 extent that counsel is going to cross-examine his own client, I
- 14 don't think the ethical rules permit that.
- 15 CHIEF JUDGE SILVER: Well, is he an adverse witness?
- 16 MR. CAMPBELL: Well, he certainly has presented
- 17 testimony adverse to the Commission, Your Honor.
- 18 CHIEF JUDGE SILVER: Is it your position he's an
- 19 adverse witness?
- 20 MR. CAMPBELL: Yes, Your Honor.
- 21 CHIEF JUDGE SILVER: And upon what basis?
- 22 MR. CAMPBELL: Your Honor, some of his opinions I
- 23 don't think will be borne out by the record.
- 24 CHIEF JUDGE SILVER: Well, I'm going to allow you to
- 25 proceed and cross-examine this witness. Now that you have --

Exhibit C

AURINA ARINOSA MARKE CHARACK * KATHLEEN IS BURKHUN THOMAS A SECURE AURIANE / PARSONN ** BURERI JAMEEL PETRAL ESCRIVIN *** DAMDRIUS ****

- * Also Advisted of Colorada
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MENSIUTH MUNITER (Vone Languar) PUBLIC RELATIONS CYNNSTICTANT

MUNGER CHADWICK, P.L.C.

ATTOPNETS AT 1,199 A PROFESSIONAL LEGITED LIABILITY COMPANY MATRIMAL BANK PLACE 333 NORTH WILLART STATE 309 TIN MIN, ARIZONA WSTILL (\$20) 72/-1909 #AY (520) "7"-1530 Margar Charles & com

PHOENIX OFFICE

MURINERY TRUST BANK TOWER PILINGRE FINANCIAL CENTER II 2708 E. Condiduck Frend, Sung Jan Phones. Arrange \$5076 (092) 358-7348 FAX (892) 441-2779

OF COUNSEL

LAWRENCE ROBERTSON, IR AUMORITARY TO THE TOTAL OF REPORT OF COLLEGE REPORT COLDENS AND AREA REPORT OF COLLEGE

> OF COURSEL GREG PATTERSON CLARK W. MATELY, JR. STRUCK WE STORY DEFENA PREAS

TURAC APPOINTMENT OF FICE

1147 East Frontings Road, 11 P. M. Ster. 1478 Tiebus, Arguna 83616 (320) 398-0111

By email

April 10, 2013

Joe Kanefield Ballard Spahr LLP 1 E. Washington Street, Suite 2300 Phoenix, AZ 85004 kanefieldi@ballardspahr.com

Mary O'Grady Osborn Maledon, P.A. 2929 North Central Avenue, Suite 2100 Phoenix, Arizona 85012-2793 mogrady Womlaw.com

Dear Joe and Mary.

re: Leach v. IRC: CV2012-007344

It has come to our attention that your firms have filed process in the above case purporting to represent our client, Commissioner Rick Stertz.

Please note that, in a letter dated April 3, 2013. Mr. Stertz expressly instructed the Commission that your firms do not represent his interests in defense of the two cases brought against him. As became very apparent at the Harris trial, in which you called Mr. Stertz as a hostile witness, you did not represent his interests in that case, and you cannot represent his interests in this case. You have a conflict of interest if you attempt to continue representing him. Mr. Startz therefore specifically instructed the Commission that you, as the Commission's attorneys, may not file anything on his behalf.

We are writing to you to confirm that neither of your firms has authority to represent or speak for Mr. Stertz in any matter, and in particular in the above case. Nor do you have the authority to postulate or negotiate any position on Mr. Stertz's behalf.

Kindly forward to us or to Mr. Stertz directly copies of all pleadings, motions, and other process filed to date in the above case.

Please also send to us a complete copy of your client files relating to Mr. Stertz, as your former client.

Regards,

MUNGER CHADWICK, PLC

Isl Adriane Parsons

Adriane J. Parsons
For the Firm

Cc Ray Biadine, Executive Director of the AIRC - RBiadine@azredistricting.org Lisa Hauser, Attorney for the Plaintiffs - lhauser@gblaw.com Mike Liburdi, Attorney for the Plaintiffs - mliburdi@swlaw.com

Ballard Spalir

11 an Washington Street, bailte anno Phospin, AZ Strong-2019 112 feat 198,6400 142 feat 198,5400 www.lodle.hpulit.com Joseph A. Kanefield Direct: 602.798 5468 Fax: 602.798.5595 kanefieldjijj ballandspakr.com

April 15, 2013

The Honorable Rick Stertz Arizona Independent Redistricting Commission 1100 W. Washington Street Phoenix, Arizona 85007

Re: Leach v. AIRC, No. CV2012-007344

Dear Commissioner Stertz:

I am writing in response to your letter dated April 3, 2013, John Munger's letter dated April 10, 2013, and our follow up email communications with Mr. Munger. In those communications, you requested that Ballard Spahr and Osborn Maledon withdraw as your counsel in the above case to the extent that you have been named as a defendant in your official capacity.

Ballard Spalar represents the Commission as a public entity. We also represent the individual Commissioners in the pending litigation because they were named solely in their official capacities as "another way of pleading an action against" the Commission. Kentucky v. Graham, 473 U.S. 159, 165 (1985) (citation omitted). An official capacity suit is different from a personal capacity suit. The former attempts to sue the government entity by naming the officer as a defendant, whereas the latter "seek[s] to impose individual liability upon a government officer for actions taken under color of state law." Hafer v. Melo, 502 U.S. 21, 25 (1991).

As was true in *Harris*, the representation in *Leach* concerns the decisions of the Commission as a body, and the relief addresses only the Commission's responsibilities as a body. Although naming the Commissioners as defendants in their official capacities was not necessary for the relief that Plaintiffs seek, Plaintiffs chose to name the Commissioners as defendants in addition to the Commission. Therefore, we have appeared as counsel for the Commission and the Commissioners in their official capacities and discussed this issue with Commission at its last meeting.

Even though Ballard Spahr represents the Commission and the Commissioners in their official capacities, this does not create a traditional "joint representation" situation. The Supreme Court has explicitly held that as long as the public entity has "received notice and an opportunity

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The Honorable Rick Stertz April 15, 2013 Page 2

to respond . . . a judgment against a public servant 'in his official capacity' imposes liability on the entity that he represents," and not on the official. *Brandon v. Holt*, 469 U.S. 464, 471–72 (1985).

Your April 3, 2013 letter demands "separate legal counsel, at state expense, for all purposes in [your] defense of the <u>Leach</u> case brought against [you] in Maricopa Superior Court." As explained above, this lawsuit names you solely in your official capacity for the purpose of challenging the congressional map that the Commission enacted. See Second Amended Complaint ¶ 12. The lawsuit seeks no relief against you, and you have no personal stake in the outcome of the litigation. See Bender v. Williamsport Area Sch. Dist., 475 U.S. 534, 543-44 (1986) (school board member sued in his official capacity had no personal stake in the outcome of the litigation and therefore had no standing to file a notice of appeal). Your letter expresses concern that your "personal interests" are not represented by Baliard Spahr and Osborn Maledon, but, as described above, the role of counsel for the Commission and the Commissioners in their official capacities in this lawsuit is to defend the Commission's decisions and not the personal interests of any individual Commissioner.

I understand that you did not vote for the congressional map and may agree with the Plaintiffs on some or all of their allegations. Your personal feelings about the lawsuit and the Commission's decisions, however, do not create a conflict of interest that requires separate counsel throughout the litigation.

Although we believe there is no ethical conflict for the reasons stated above, we will discuss the issues raised in your correspondence with the Commission at its next meeting. We will also ensure that the court is aware of the dispute if there are any filings before the matter is resolved. In addition, if we do withdraw as you have requested, we do not believe that you are entitled to receive separate counsel at state expense to represent you in your official capacity in this lawsuit. The Commission's funding is provided to defend this lawsuit, and it need not and should not provide separate counsel at state expense to each individual Commissioner named solely in his or her official capacity. We will address this funding as well as your request for documents at the next Commission meeting.

On a related matter, as you know, the Commission has provided separate legal counsel for the limited purpose of advising each Commissioner regarding whether to waive his or her legislative privilege, which is an individual decision for each Commissioner. Your counsel with respect to this limited advice, and this work in *Leach*, will be subject to the budget limitations developed in cooperation with the Commission's Executive Director.

The Honorable Rick Stertz April 15, 2013 Page 3

If you have any questions or concerns, please feel free to reach me at my email address or telephone number provided above.

Sincerely,

Joseph A. Kanefield

JAK:15

co: John F. Munger
Ray Bladine
Kristina Gomez
Mary R. O'Grady
Colleen Mathis
Scott Freeman
Jose Herrera
Linda McNulty